

Mock regulatory inspection

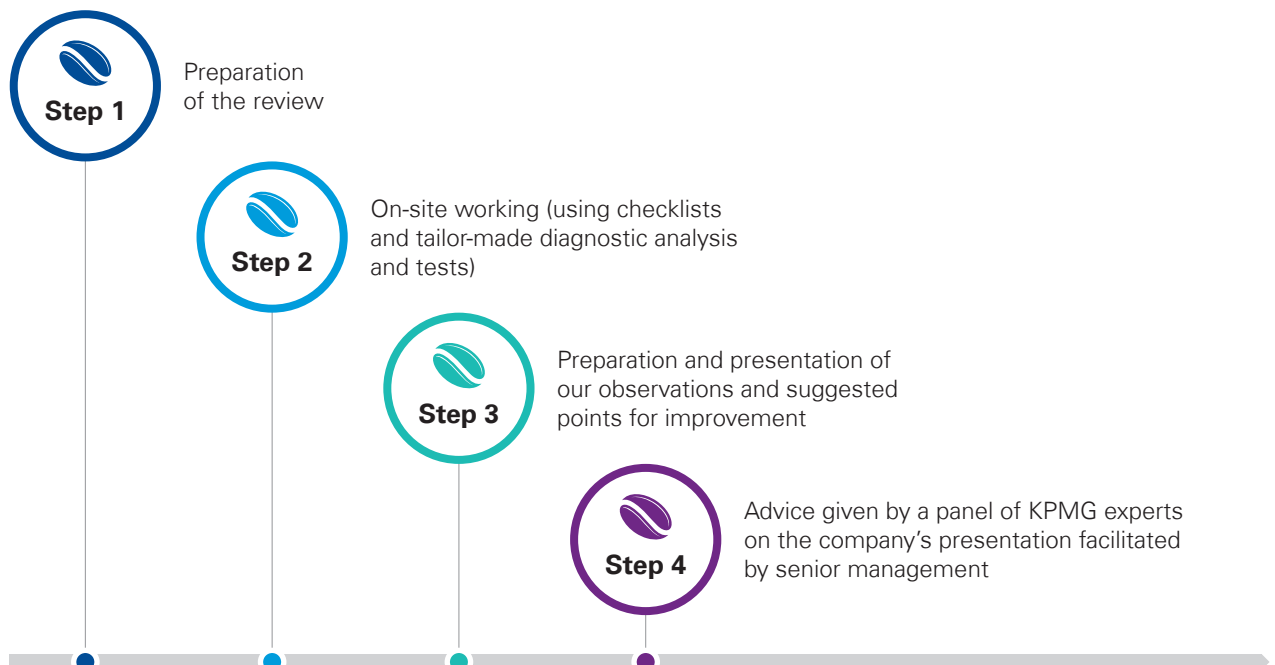


A bespoke service catering to all types of alternative investments

Prepare your management for a regulatory inspection.

Our service offers you:

- assistance ensuring that you are compliant with regulation, which is a high priority area not only for AIFMs but also UCITS ManCos with high sanctions and reputational damage at risk
- preparatory measures for on-site inspection visits from regulators, which are on the rise alongside the focus on substance and on asset managers' accountability for properly running their businesses and protecting investor interests
- a review of the strength of your key processes and documentation in operations and in control functions



Detailed features

Our services include:

- 🕒 mock inspection methodology, which is designed to uncover potential weaknesses in your processes and documentation
- 🕒 help with taking remedial actions to address deficiencies
- 🕒 a presentation to the panel of experts to be trained for a regulator inspection
- 🕒 testing of your teams' readiness for inspections with simulated interviews offering opportunities to practice answering questions on business activities, organisation, governance, and the controls framework



For contact details please go to: www.kpmg.lu/alternativeinvestments



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